1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28



BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS:

KRISTIN K. MAYES, Chairman 2009 MAY -7 P 2: 43

GARY PIERCE

PAUL NEWMAN

SANDRA D. KENNEDY BOB STUMP

MAN AZ CORP COMMISSIC KENNEDY DOCKET CONTROL Arizona Corporation Commission

DOCKETED

MAY = 7 2009

DOCKETED BY

IN THE MATTER OF:

ENERGETICS, INC., a Nevada corporation; STEVEN P. GIUFFRIDA and MICHELLE GIUFFRIDA, husband and wife,

RESPONDENTS.

<u>FIFTH</u> PROCEDURAL ORDER

DOCKET NO. S-20648A-09-0010

BY THE COMMISSION:

On January 12, 2009, the Securities division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Energetics, Inc. ("Energetics"), Stephen P. Giuffrida and Michelle Giuffrida, husband and wife (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes and/or investment contracts.

The Respondents were duly served with a copy of the Notice.

On January 23, 2009, a request for hearing was filed by the Respondents.

On January 27, 2009, by Procedural Order, a pre-hearing conference was scheduled on February 25, 2009.

On February 25, 2009, the Division and Respondents appeared with counsel to discuss issues arising from the Notice and stipulated to a status conference being scheduled after certain documents were reviewed.

On February 26, 2009, by Procedural Order, a status conference was scheduled for April 2, 2009.

On March 24, 2009, counsel for Respondents filed an Application for Withdrawal as Counsel of Record ("Application") pursuant to A.A.C. R14-3-104(E) and consistent with ER 1.16 stating that

Respondents had failed to meet their financial obligation for counsel's services. Counsel further 1 2 stated that he had provided notice to the Respondents of pending matters related to the case, such as discovery, and the previously scheduled Examination Under Oath of Mr. Giuffrida. Notice of the 3 4 Application was also provided to Respondents. 5 On April 1, 2009, by Procedural Order, the Application of counsel was granted. On April 2, 2009, the status conference was held with the Division present with counsel and 6 Mr. Giuffrida appeared on his own behalf. The Division indicated that the parties are attempting to 7 8 reach a resolution in the proceeding, and requested that another status conference be scheduled in approximately 30 days. 10 On April 3, 2009, by Procedural Order, a status conference was scheduled on May 7, 2009. 11 On May 7, 2009, at the status conference, the Division appeared with counsel and attorney 12 Jeffrey Proper entered an appearance on behalf of the Respondents. The parties indicated that they 13 are attempting to resolve the issues in the proceeding and the Division requested that a hearing be 14 scheduled in the fall. 15 Accordingly, a hearing should be scheduled. 16 IT IS THEREFORE ORDERED that hearing shall be held on October 13, 2009, at 10:00 17 a.m. at the Commission's offices, 1200 West Washington Street, Hearing Room 1, Phoenix, Arizona. 18 IT IS FURTHER ORDERED that the parties shall set aside October 14, and 15, 2009, for 19 additional days of hearing, if necessary. 20 IT IS FURTHER ORDERED that the parties shall exchange copies of their Exhibits and 21 Witness Lists by September 14, 2009, with copies provided to the presiding Administrative Law 22 Judge. 23 24 25 26 27 28

1	IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
2	amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
3	ruling at hearing.
4	DATED this 7774 day of May, 2009.
5	
6	
7	MARC E. STERN
8	ADMINISTRATIVE LAW JUDGE
9	Carian of the foresting mailed/delivered
10	Copies of the foregoing mailed/delivered this day of May, 2009 to:
11	Jeffrey Proper, Esq. JEFFREY M. PROPER, PLLC 10645 North Tatum Blvd., Ste. C200-652
12	
13	Phoenix, AZ 85028
14	Matt Neubert, Director Securities Division
15	ARIZONA CORPORATION COMMISSION
16	1300 West Washington Street Phoenix, AZ 85007
17	ARIZONA REPORTING SERVICE, INC.
18	2200 North Central Avenue, Suite 502 Phoenix, AZ 85004-1481
19	nocinx, 122 03004-1401
20	By: Annua
21	Debra Broyles Secretary to Marc E. Stern
22	
23	
24	
25	
26	